

Sanskrit *nati*: phonetics, morphoprosody, origin and fate

1 Basic rule

- (1a) *n* becomes *ṇ* when preceded at any distance by $\{s, r, \bar{r}, \bar{r}\}$

<i>dharmēṇa</i>	‘by dharma’
<i>śṛṅgeṇa</i>	‘by horn’
<i>viṣkambheṇa</i>	‘by prop’
<i>rāghaveṇa</i>	‘by Rāghava’
<i>tryaṅgeṇa</i>	‘by tripartite’
<i>puṣpaugheṇa</i>	‘by flower heap’

- (1b) Unless a coronal (excluding *y*) intervenes, e.g.

dentals	<i>t</i>	in	<i>pārṣatena</i>	‘by antelope’
	<i>th</i>	in	<i>rathena</i>	‘by chariot’
	<i>d</i>	in	<i>hṛdayena</i>	‘by heart’
	<i>l</i>	in	<i>vṛṣalena</i>	‘by wicked’
retroflexes	<i>ṭ</i>	in	<i>virāṭena</i>	‘by Virāṭa’
	<i>ḍ</i>	in	<i>garuḍena</i>	‘by Garuḍa’
palatals	<i>c</i>	in	<i>mārīcena</i>	‘by Mārīca’
	<i>j</i>	in	<i>rājyena</i>	‘by royal’

- (2) Always applies transparently for derived triggers

	/vi-skambh-ena/
(1) <i>ruki</i>	vi-ṣkambh-ena
(2) <i>nati</i>	vi-ṣkambh-eṇa
	[vi-ṣkambh-eṇa]

- (3) Domain is usually the word, with no morphological restrictions on the trigger or target

- (4) Target *n* must immediately precede a vowel, glide, or nasal, but this restriction follows from general phonotactics independent of *nati* (Schein and Steriade 1986)

- (5) Thus, as an *SPE*-style rule:^{1,2}

$$\begin{bmatrix} +cor \\ +nas \end{bmatrix} \rightarrow [-ant] / \begin{bmatrix} +cor \\ -ant \\ +cont \\ -lat \end{bmatrix} [-cor]_0 \text{ —}$$

¹This assumes that $[cor]$ is binary and *y* is $[-cor]$; if either isn’t the case, disjunctions are required.

²For a recent constraint-based analysis, see Ryan (forthcoming).

2 A little background

- (1) *nati* [nəti] refers to ‘retroflexion’ as a process ($< *nm-ti$, $\sqrt{nam}$, cf. *namaste*)
- (2) Cf. *mūrdhanya*, ‘retroflex (cerebral)’ as a class
- (3) By convention, *nati* refers only to *n*-retroflexion via harmony
- (4) Pāṇini treats the phenomenon at length (8.4.1–39), but doesn’t call it ‘*nati*’
- (5) *nati* is also discussed (as such) in the Prātiśākhya (e.g. RPr 5.61, VPr 1.42)
- (6) Some notable descriptions: Wackernagel (1896: §167–74), Whitney (1889: §189–95), Macdonell (1910: §47), Allen (1951: 940–6), Renou (1952: §60–5; 1961: §17–8)
- (7) 40+ references in the structuralist and generative literatures (see Ryan forthcoming)

- (8) Two *a priori* possible mechanisms for harmony:
 - (i) Spreading (gestural extension), e.g. Applecross Scottish Gaelic (Ternes 1973)

a.	/mã·har/	[ˈmã·hã]	‘mother’
b.	/friã·v/	[ˈfriã·ṽ]	‘roots’
c.	/kʰõispaxk/	[kʰõĩspaxk]	‘wasp’
 - (ii) Long-distance correspondence, e.g. Chaha (Semitic), in which plosives agree in voicing across a (voiced) vowel (Rose and Walker 2004) (cf. alliteration)
- (9) Consensus holds *nati* to be type (a) (esp. Hansson 2010: 189ff; also Flemming 1995, Gafos 1999, Ní Chiosáin and Padgett 2001, Rose and Walker 2004, Jurgec 2011)
 - Due to the nonoverlap of triggers and target, blocking, progressive directionality, and (occasional) phrasal domain
 - *nati*-as-spreading will be reinforced by new evidence below
- (10) A such, all segments between trigger and target are presumed retroflex
 - e.g. *viṣkambheṇa* is [(viṣkəmbʱeṇ)ə]
- (11) Orthography/orthoepy records retroflexion only on coronals, where it’s contrastive
- (12) As long recognized (e.g. Whitney 1889: §189a), retroflexion is compatible with non-coronals. In some languages (e.g. Badaga [Dravidian] and Kalasha [Dardic]), it’s even systematically contrastive in the vowels.
- (13) Further supported by an instrumental study of retroflex harmony in Kinyarwanda (Walker et al. 2008)

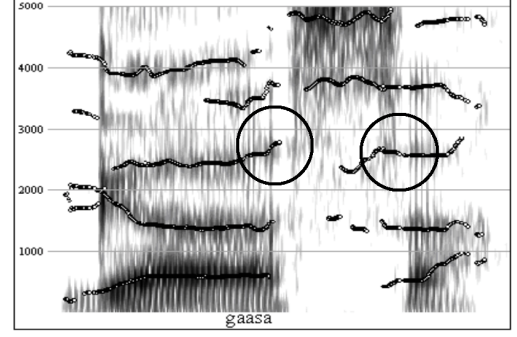
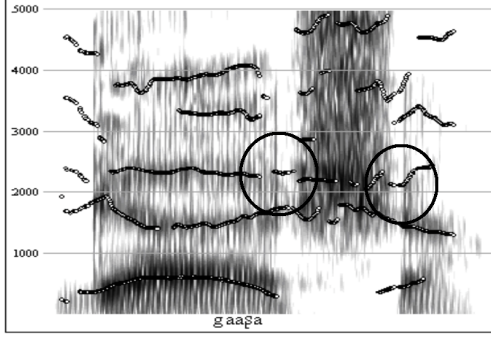
3 Triggers

- (1) Why are the triggers $\{s, r, \bar{r}, \bar{r}\}$, i.e., the retroflex continuants, $\begin{bmatrix} +cor \\ -ant \\ +cont \end{bmatrix}$?
- (2) My proposal: Retroflex stops ($\bar{t}$, $\bar{th}$, $\bar{d}$, $\bar{dh}$, $\bar{n}$) fail to trigger because they FLAP OUT
- (3) Dialectal $\bar{l}$, $\bar{lh}$ also fail to trigger, possibly because they too flap out (more below)
- (4) ‘Flapping out’ (Ladefoged 1964): the (near?) universal by which retroflex stops release in a more anterior position (e.g. $[\bar{t}]$ is more narrowly $[\widehat{t}]$)³
- Articulatorily, the front of the tongue shifts to the alveolar region during closure
 - Acoustically, the cues for retroflexion (e.g. depressed F3, F4) are primarily realized on the preceding rather than following sonorant
 - Phonologically, retroflex stops tend to interact with preceding as opposed to following vowels
- (5) If *nati* is spreading, this provides a simple explanation for non-triggering by stops
- FLAPOUT “penalize a retroflex stop that doesn’t flap out”
 - SPREAD([retro]) “penalize each segment excluded from each retroflex span”
 - IDENT([retro]) “penalize each change to retroflexion”

	/mukʉ̃-e:na/	FLAPOUT	SPREAD([retro])	IDENT([retro])
☞ a.	(mʉ̃kʉ̃ṭ)-e:nə		***	****
b.	(mʉ̃kʉ̃ṭ-e:n̩)ə	*!	*	*****
c.	muku(ṭ)-e:nə		****!***	

³*Ibid.*, Bhat 1973:47, Dave 1977, Simonsen et al. 2000, Dart 1991, Shalev et al. 1993, Butcher 1995, Krull et al. 1995, Steriade 1995:5f, Spajić et al. 1996, Dart and Nihalani 1999, Flemming 2003, Hamann 2003, Boersma and Hamann 2005, Arsenault 2012.

- (6) Crucially, however, continuants (aside from flaps themselves) never seem to flap out crosslinguistically (esp. Boersma and Hamann 2005; also Bhat 1973, Flemming 2003)



(Kinyarwanda [ʂ] vs. [s] from Walker and Mpiranya 2005; ellipses added by me)

- (7) Retroflexion is therefore free to spread bidirectionally from them

/pu:san-am/	FLAPOUT	SPREAD([retro])	IDENT([retro])
a. (pʊ:ʂ)ən-əm		***!*	***
b. (pʊ:ʂəŋ)-əm		**	*****
c. pu:(ʂ)ən-əm		***!***	*
d. (pʊ:ʂəŋ-əm)	*!		*****

3.1 On non-triggering by retroflex laterals

- (8) No previous discussion of *nati* has made explicit that $\{l, lh\}$ fail to trigger it, judging by 45 diagnostic tokens in the RV, e.g.

- *īlānāh* ‘invoking.PL’
- *āṣālḥena* ‘with invincible’

- (9) If these are normal retroflex lateral approximants $[l^{(h)}]$, we’d expect them to trigger, being retroflex continuants that don’t flap out

- (10) Two possible solutions:

- Given the shallow origin of $\{l, lh\}$ in $\{d, dh\}$, they were really retroflex lateral flaps $[l^{(h)}]$, as in Marathi
- Opacity: *nati* is counterfered by lateralization

3.2 On triggering by rhotics and paleophony

- (11) Since *r* (in all of its forms) triggers *nati*, it's often assumed to be retroflex
- The tradition is scattered: retroflex (PŚ, ĀpŚ, alphabetical order), alveolar (AP, VP, RT, TP, ĀpŚ), optionally dental (RP, RT); moreover, ṛ, for its part, is sometimes characterized as velar (VP, RT, RP) (Allen 1953: 53ff)⁴
- (12) Assuming spreading, this means that *r*, whatever it is, must remain ‘flapped up’
- (13) Therefore, *r* cannot be any kind of flap
- (14) But most other rhotic types would be compatible with *nati*: a rhotic approximant [ɹ] can induce retroflexion, as can an alveolar tap or trill [r~r] (Cathcart 2012)
- (15) It's unclear whether Vedic *r* was typically (i) smooth or (ii) tapped/trilled
- (i) Pro smooth [ɹ]:
- (a) A frequent assumption in the grammars, e.g. Whitney (1889), “ṛ is simply a smooth or untrilled *r*-sound” (§24); “seems to have been untrilled [...] no authority hints at a vibration as belonging to it” (§52)
 - (b) Taps/trills (as opposed to flaps) tend to be dental to alveolar, seemingly contrary to *nati* (but cf. Cathcart 2012), while rhotic approximants are typically more posterior (alveolar to retroflex)
 - (c) *r* collapsed together with *l* (lateral approximant) in pre-Vedic
 - (d) *s*-rhotacism is active in Vedic (e.g. *agnís trīṇi* vs. *agnír āsīt*), a change often assumed to progress through an approximant stage (e.g. *z* > *ṛ* > *ɹ*; Catford 2001), though it might not linger (cf. Latin; Painter 2011)
 - (e) Catford (2001): *o*-sandhi (*as* → *o* [əw] / __ [+voi]) likely passed through [ə^w.ɹ], perhaps əz > əṛ > əɹ > ə^w.ɹ > əw (*pace* Smith 2010)
 - (f) A trill is salient and easy to describe, as witnessed by even amateur accounts of it in Classical Latin. The Sanskrit grammarians were highly sophisticated (recognizing, e.g., the sulcalization of *ṣ* and the acceleration of airflow after aspirates), but don't describe anything like trilling⁵
 - (g) *r* was entirely glide-like phonologically
 - (h) *r* often metathesizes (e.g. *ásrāpsam* alongside *ásārpsam* ‘crept.AOR’)
 - (i) RP 14.26 refers to ‘overcontact’ as a barbarism: *atīsparśo barbaratā ca rephe* (MW has *barbaratā* as “stammering pronunciation of the letter *r*”); Uvaṭa confirms this to be indelicate (*asaukumārya*)
 - (j) Kinyarwanda (Walker and Mpiranya 2005) has a similar process of retroflex spreading, except that [ɹ] is not a trigger; only [ʃ] and [z]

⁴Of course, every *śākhā* might not preserve the phonetics under which *nati* naturally evolved.

⁵Except RP does hint at a single tap/flap (see below).

- (ii) Pro tapped/trilled [r~r]:
- (a) Other authorities assume a trill, e.g. Chatterji (1952), “r appears to have been always a tongue-tip trill”
 - (b) Allen (1953) likewise implies that *r*’s name, *repha* (‘ripping, growling, snarling’), implies rolling⁶
 - (c) Several prescriptions give an alveolar or even (optionally) dental place
 - (d) One or two suggest that *r* is flanked by vowels (°r°), clearly suggesting a tap⁷
 - (e) Avestan correspondent of *r* is *arə*
 - (f) Often assumed to be a trill in PIE (but cf. Catford 2001, Painter 2011)
 - (g) Taps and trills have much higher typological priors (UPSID)
 - (h) The Middle/Modern reflexes have this quality

(16) At any rate, dialectal and positional variation are esp. common with rhotics

- “In Fula, /**r**/ is realized as an approximant **ɹ** before a consonant, as a trill elsewhere. In Palauan, /**r**/ is generally a tap in intervocalic and postvocalic environments but an approximant in initial position; the contrasting orthographic ‘rr’ is most commonly an approximant with some frication, but its range of variation encompasses trills” (Ladefoged and Maddieson 1996: 216)

(17) As long as *r* doesn’t flap out, there’s no problem here

4 Directionality

(1) The vast majority of consonant harmonies are regressive or bidirectional, including anteriority harmonies, e.g.

(i) Kinyarwanda (Walker and Mpiranya 2005)

- | | | | | |
|--------|---------------|---|-----------|-------------------|
| 1. | /sas-i/ | → | ʃaʃ-i | ‘bed maker’ |
| 2. | /sáaz-i-e/ | → | ʃáaz-e | ‘became old.PERF’ |
| 3. | /zímagiz-i-e/ | → | zímagiz-e | ‘misled.PERF’ |
| cf. 4. | /sítaaz-i-e/ | → | sítaaz-e | ‘made stub.PERF’ |

(ii) Navajo (Martin 2005)

- | | | | | |
|--------|-------------|---|------------|----------------------|
| 1. | /sì-té:ʒ/ | → | ʃì-té:ʒ | ‘they two are lying’ |
| 2. | /tsé-tʃé:ʔ/ | → | tʃʰé-tʃé:ʔ | ‘amber’ |
| 3. | /tʃa:-né:z/ | → | tʃa:-né:z | ‘mule’ |
| cf. 4. | /ji-s-tiz/ | → | ji-s-tiz | ‘it was spun’ |

⁶Incidentally, early Latin descriptions liken trilled *r* to a dog’s growl (Painter 2011: 62). But cf. the English sound symbolism: *grr*, *roof*, *growl*, *snarl*, *bark* (*Rover?*, *Roy?*, *Rex?*), Scooby-Doo’s voice, etc.

⁷But the same give *l* as °l°, rendering this inference less obvious, and at any rate it’s not intended for consonantal *r*.

- (2) My proposal: *nati* spreading was likely bidirectional (like Gaelic above), but because of flapping out, we only see evidence for it in the progressive direction
- (3) Spreading leftwards into the nasal stop would violate FLAPOUT

	/va:na.ɲa/	FLAPOUT	SPREAD([retro])	IDENT([retro])
☞ a.	va:n(ə.ɲə)		***	**
b.	va:(ɲə.ɲə)	*!	**	***
c.	(va:ɲə.ɲə)	*!		*****

- (4) Meanwhile, FLAPOUT doesn't apply to systems like Kinyarwanda and Navajo,⁸ since the triggers and targets are continuants (sibilants), not stops
- (5) Directionality of *nati* is stipulated by all previous analyses

5 Impermeability of target *n*

- (1) *nati* cannot spread through a target

e.g. /prān-ena/ → prāɲena, *prāneɲa

- (2) Here, this follows trivially from FLAPOUT

	/p.ɲa:n-e:na/	FLAPOUT	SPREAD([retro])	IDENT([retro])
☞ a.	(p.ɲa:ɲ)-e:nə		***	***
b.	(p.ɲa:ɲ-e:ɲ)ə	*!	*	*****

- (3) Yet a bugaboo for previous constraint-based analyses, which lack FLAPOUT⁹

6 Why is the nasal the only target?

- (1) One can imagine a process like *nati* targeting all coronals (e.g. *ratha* > *raɲtha*, etc.)
- (2) Why does actual *nati* only affect the nasal?
- (3) Here, I adopt a version of the 'P-map' approach previously advocated (Steriade 1995, Ní Chiosáin and Padgett 1997, Gafos 1999): Changing anteriority is less perceptually salient for the nasal than it is for other coronals

⁸Navajo, for its part, is moot anyway, since it probably doesn't involve spreading.

⁹It's stipulated through additional constraints or ranking in Jurgec (2011) and Ní Chiosáin and Padgett (1997), misanalyzed by Gafos (1999) (see Hansson 2010: 186ff), and left unanalyzed elsewhere.

- (4) Indeed, *n* vs. *ṇ* is often the first retroflexion contrast to be lost (Prākṛits,¹⁰ Hindi, Nepali, Bengali, etc., all of which preserve contrastive retroflexion in plosives)
- (5) Crosslinguistically, nasals assimilate more readily than obstruents in anteriority
- (6) Projecting this fact onto a ranking:

$$\text{IDENT}_{OrCor}([\text{retro}]) \gg \text{IDENT}([\text{retro}])$$

	/ṭat ^h as/	FLAPOUT	IDENT _{OrCor} ([retro])	SPREAD([retro])	IDENT([retro])
३	a. (ṭṇ)ṭ ^h əh			***	*
	b. (ṭṇṭ ^h)əh		*!	**	**

7 Why do coronals block?

- (1) Dental and palatal orals block (without undergoing) due to IDENT_{OrCor} (§6)
- (2) Retroflex orals block (remaining retroflex) due to FLAPOUT (§3)
- (3) Dental and retroflex nasals block (while undergoing) due to FLAPOUT (§5)
- (4) Same in Kinyarwanda (Walker and Mpiranya 2005): coronal stops (including /n/) block retroflex spreading

8 Why does retroflexion spread, but not dentality?

- (1) Denticity ([+ant]) evidently doesn't spread like retroflexion does
e.g. /sa-gaṇa/ → *sagaṇa*, **sagana*
- (2) Binary [$\pm ant$] is often nowadays rejected in favor of a privative [retro] autosegment (Gafos 1999, Ní Chiosáin and Padgett 2001; cf. also McCarthy 2011, Walker 2014); thus, theory-internally, spreading is not predicted to be symmetric
- (3) NB. retroflex is the marked anteriority
 - much less frequent than dentality
 - underlyingly confined to roots; etc.
- (4) Kaun (1994) *et seq.*: Marked values spread in order to facilitate their perceptibility
- (5) E.g. take a minimal pair such as *pāna* 'drinking' vs. *pāṇa* 'stake'

	<i>pāna</i>	<i>pāṇa</i>	distinctiveness
1. no harmony	[pa:nə]	[pa:(ṇ)ə]	weak
2. dental spreading	[pa:nə]	[pa:(ṇ)ə]	weak
3. retroflex spreading	[pa:nə]	[(pṛa:ṇ)ə]	strong

¹⁰E.g. Gāndhārī maintains all three sibilants but loses *n* vs. *ṇ*.

9 Root boundary attenuation

(1) A new proposal for morphological conditioning: *nati* applies to a target that is

- (i) immediately post-plosive, or
- (ii) pre-retroflex

unless the span would have to penetrate $\sqrt{\quad}$ (a left root boundary)

9.1 Post-plosive targets

(2) As the grammars report, *nati* sometimes fails when the target is immediately post-plosive, e.g.

- *prāpnoti* ‘attains’
- but cf. *rekṇas* ‘inheritance’

(3) Proposal: these exceptions are systematic: *nati* fails iff $\sqrt{\quad}$ intervenes

- *pr $\sqrt{\quad}$ āpnoti* ‘attains’
- *$\sqrt{\quad}$ rekṇas* ‘inheritance’

(4) My corpus studies cover:

Period	Genre		Text	Words
Vedic	Vedas	(v)	Ṛg-Veda	164,767
			Śāma-Veda	19,019
			Atharva-Veda	85,021
	Brāhmaṇas	(b)	Śatapatha	127,255
			Pañcaviṃśa	42,700
			Gopatha	31,267
			Kauṣītaki	39,060
			Early Upaniṣads	(u)
	Chāndogya	13,968		
	Epic		(e)	Mahābhārata
Rāmāyaṇa				213,773
Total: 2,011,789				

(5) All cases in the corpus in which post-plosive *nati* applies

- | | | | |
|-----|-----------|-------------------------------------|--------------------------|
| (a) | √gr̥bhñV- | ‘grasp (pres. stem)’ | (v33 b15 vs. 0) |
| (b) | √rugṇá | ‘break (pass. part.)’ | (v2 e40 vs. 0) |
| (c) | √vr̥kṇá | ‘cut off (pass. part.)’ | (v4 b7 u7 e2 vs. 0) |
| (d) | √rékṇas | ‘inheritance’ | (v14 vs. 0) |
| (e) | √tr̥pn̥V- | ‘be satisfied (pres. stem)’ | (v7 vs. v1; AV 20.136.5) |
| (f) | √t̥iṣkṇa | ‘sharp (cf. √t̥iṣkṇa, <i>id.</i>)’ | (e5 vs. 0) |
| (g) | √p̥r̥gṇa | ‘unite (pass. part.)’ | (v1 vs. 0) |
| (h) | √r̥kṇa | ‘wound (pass. part.)’ | (b1 vs. 0) |

(6) All cases in which (otherwise expected) post-plosive *nati* fails

- | | | | |
|-----|------------------|-------------------------------|---------------------------|
| (a) | pr√āpnV- | ‘attain (pres. stem)’ | (v2 b62 u4 e510 vs. 0) |
| (b) | (√)X-√agni | ‘X-fire/Agni’ | (v161 b195 u2 e104 vs. 0) |
| (c) | (√)X-√ghna | ‘X-killer’ | (v27 b38 e379 vs. 0) |
| (d) | X-√bhagna | ‘preverb-break (pass. part.)’ | (b1 e90 vs. 0) |
| (e) | d(a)u(h)√śvápnya | ‘bad sleep’ | (v35 b1 e12 vs. 0) |
| (f) | X-√ghna- | ‘preverb-kill (3pl forms)’ | (v5 b14 vs. 0) |
| (g) | hári√knika | ‘bay-colored’ | (v2 vs. 0) |
| (h) | páry√akna | ‘turned around’ | (b2 vs. 0) |
| (i) | nir√vigna | ‘unshaken’ | (e1 vs. 0) |
| (j) | vi√ṣkabhna | ‘fix (pres. stem.)’ | (v1 vs. 0) |
| (k) | √kṣepnóḥ | ‘springing (gen. sg.)’ | (v1 vs. 0) |
| (l) | √tr̥pn̥V- | ‘be satisfied (pres. stem)’ | (v1 vs. v7; see (5)) |

(7) The root boundary condition cross-classifies these data almost perfectly (99.9%)

	<i>nati</i> applies	<i>nati</i> fails
√ intervenes	0	1,777
no √ intervenes	138	2

(8) The generalization is robust across all periods

(9) Only two exceptions in this corpus: one token of *kṣepnóḥ* (RV) and one of *tr̥pnu-* (AV) (against seven of *tr̥pnu-*, including all six RV tokens)

(10) Yet previous accounts fail to express this generalization¹¹

¹¹E.g. Whitney (1889: §195a) is representative: “The immediate combination of **n** with a preceding guttural or labial seems in some cases to hinder the conversion to **ṇ**: thus, **vr̥traghnā** etc., **kṣubhnāti**, **tr̥pnoti** (but in Veda **tr̥pṇu**), **kṣepnú**, **suṣumnā**.”

(11) When the target is not post-plosive, *nati* freely penetrates $\sqrt{\quad}$

(12) E.g. a preverb normally triggers *nati* across $\sqrt{\quad}$, e.g.

- (a) $\text{prā}\sqrt{\text{hiṇot}}$ ‘incited (3s)’ (e82 vs. 0)
- (b) $\text{pra}\sqrt{\text{mīṇāti}}$ ‘frustrates (3s)’ (b5 vs. 0)
- (c) $\text{pra}\sqrt{\text{yāṇa}}$ ‘setting out’ (v5 b1 e21 vs. 0)

But never if its target is post-plosive, e.g.

- (d) $\text{pr}\sqrt{\text{āpnoti}}$ ‘attains (3s)’ (v1 b21 u1 e183 vs. 0)
- (e) $(\text{abhi})\text{pra}\sqrt{\text{ghnanti}}$ ‘kill (3pl)’ (v2 b2 vs. 0)
- (f) $\text{pra}\sqrt{\text{bhagna}}$ ‘broken’ (b1 e72 vs. 0)

(13) Similarly, *nati* usually applies across a compound (esp. in Vedic), e.g.

- (a) $\sqrt{\text{vr̥tra}}\sqrt{\text{háṇa}}$ ‘Vr̥tra-killing’ (v16 b2 e7 vs. 0)
- (b) $\sqrt{\text{vīra}}\sqrt{\text{háṇa}}$ ‘hero-killing’ (b1 e3 vs. 0)

But never if its target is post-plosive, e.g.

- (c) $\sqrt{\text{vr̥tra}}\sqrt{\text{ghná}}$ ‘Vr̥tra-killer’ (v6 b5 vs. 0)
- (d) $\sqrt{\text{vīra}}\sqrt{\text{ghná}}$ ‘hero-killer’ (v3 e23 vs. 0)

(14) Ryan (forthcoming) analyzes this as a GANG EFFECT: *nati* fails when constraints against post-plosive retroflexion and cross- $\sqrt{\quad}$ spans are simultaneously violated, but not when either is individually violated

9.2 Pre-retroflex targets

(15) *nati* always applies to a pre-retroflex target within the stem-suffix complex, e.g.

(a)	√brāhmaṇeṣu	‘Brahmins (loc. pl.)’	(v2 b1 e67 vs. 0)
(b)	√gr̥hṇīṣva	‘grasp (2s imp.)’	(e15 vs. 0)
(c)	√kr̥ṇuṣvā	‘do/make (2s imp.)’	(v26 b1 vs. 0)
(d)	√pr̥ṇakṣi	‘unite (2s)’	(v8 b2 vs. 0)
(e)	√prāṇīṣu	‘breathers (loc. pl.)’	(e7 vs. 0)
(f)	√purāṇa√r̥ṣi	‘ancient rishi’	(e6 vs. 0)
(g)	√rāṇīṣṭana	‘rejoice (2pl aorist)’	(v1 vs. 0)
(h)	a√rāṇīṣuḥ	‘rejoice (3pl aorist)’	(v1 vs. 0)

(16) But only rarely so (<5%) when the trigger is outside of √, e.g.

(a)	(vi)pra√naṣṭa-	‘vanished (past pass. part.)’	(e91 vs. e9)
(b)	pra√naṣṭum	‘to vanish (inf.)’	(0 vs. 0; MW: 659)
(c)	pra√naṅkṣyati	‘will vanish (3s fut.)’	(0 vs. 0; Allen 1951: 946)
(d)	pra√nrt-	‘dance forth’	(v1 e32 vs. 0)
(e)	pari√nrt-	‘dance around’	(v3 e1 vs. 0)
(f)	pra√nard-	‘roar’	(e1 vs. 0)
(g)	pra√nakṣ-	‘approach’	(0 vs. 0; MW: 681)
(h)	pari√nakṣ-	‘encompass’	(0 vs. 0; Macdonell 1910: §47)

(17) Once again, this is not because *nati* wouldn’t otherwise cross √; cf.

(a)	pra√ṇaśyati	‘vanishes (3s)’	(e53 vs. 0)
(b)	pra√ṇaśyanti	‘vanish (3pl)’	(b2 e3 vs. 0)
(c)	pra√ṇāśinī	‘destroyer (fem.)’	(e5 vs. 0)
(d)	prá√ṇak	‘reach (aorist)’	(v4 b1 u1 vs. 0)
(e)	pra√ṇāśayet	‘destroy (3s caus. opt.)’	(e2 vs. 0)
(f)	pra√ṇāśa	‘disappearance’	(e17 vs. 0)

(18) Previous accounts mention exceptions such as *pranaṣṭa*, but fail to mention the crucial role of √¹²

(19) Ryan (forthcoming) analyzes this as a gang effect of OCP([retro]) and the penalty on cross-√ spans: Retroflexion must retract from a retroflex if √ intervenes

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(20) Summarizing, *nati* applies to an immediately post-plosive or pre-retroflex target, unless the trigger and target straddle a root boundary

¹²Even Allen (1951) implies that a following retroflex always blocks, ignoring the far more numerous cases of non-blocking, as in (15) (likewise Macdonell 1910, Hansson 2010, Graf 2010, Jardine 2014).

10 Some closing remarks on typology and history

- (1) *nati* is said to be fairly unique in the world
 - “In the context of the 120 or so long-distance assimilations surveyed here, [*nati*] stands out as a sore thumb, showing properties that are otherwise unattested in the database” (Hansson 2001: 81)
 - *nati* is “knotty, naughty, and nutty” (Benjamin Fortson, p.c.)
- (2) I’ve argued that all of its properties are phonetically sensible. If so, why are similar harmonies so rare?
- (3) Not an issue of the requisite inventory being rare: Hundreds of languages have the necessary phones.
- (4) Within South Asia
 - (a) In archaic Dravidian, rhotics don’t induce retroflexion on following nasals (not even as a statistical tendency, judging by Old Tamil)
 - (b) Arsenault’s (2012) survey of retroflex harmony in South Asia gives only a handful of cases that I take to be in any way reminiscent, viz.
 - (i) **Kalasha**: retroflexion optionally spreads bidirectionally across vowels, e.g.
 1. /a^hŋgu/ [a^hŋgu^h] ‘finger’
 2. /sirã^h/ [si^hrã^h] ‘wind’
 3. /a^hin/ [a^hi^hŋ] ‘millet’ (only attested case of a nasal undergoing)
 - (ii) **Sherpa**: progressive retroflexion, e.g.
 1. /t̪i-ni/ [t̪i-ŋi] ‘having asked’
 2. /rul/ [zu^h] ‘snake’
 3. /t̪i-tu/ [t̪i-t̪u] ‘ask (interrogative)’
 - (iii) **Burushaski**: progressive retroflexion triggered only by continuants (but only evident for the non-past suffix, tentatively /-tʃ/ here), e.g.
 1. /ʃi-tʃ/ [ʃi-tʃ̪] ‘eat (non-past)’
 2. /gi^h-tʃ/ [gi^h-tʃ̪] ‘enter (non-past)’
 3. /ga^h-tʃ/ [ga^h-itʃ̪] ‘bite (non-past)’
- (5) Outside of South Asia
 - (a) Kinyarwanda (above) seems closest, but it’s regressive and only triggered and targeted by sibilants
 - (b) ‘Typical’ Australian inventory has the right sounds (viz. r~r, ɻ, n, ŋ); I checked ten grammars (including lexicons) and found nothing¹³
 - (c) Rhotics are famously metathesizable (e.g. Malinaltepec Tlapanec; Suárez 1983)

¹³Except one isolated example in Gooniyandi: *gardngin-rooni* → *gardngoondoorni* (McGregor 1990: 102).

- (d) For a rhotic to induce retroflexion on an immediately following segment is fairly common (e.g. Swedish, Norwegian, Pashto, Hebridean English; Cathcart 2012)
- (6) Within Old Indic
- (a) *nati* is trivially post-IIr (unlike, say, *ruki*)
 - (b) No reason to suppose it was present in the Ur-RV (Deshpande 1993: §8)
 - (c) But in the surviving (Śākalya) recension of the RV, it's at its most productive in all of Old Indic, often crossing compounds and (less often) word boundaries
 - (d) Signs of decline by Epic (still prescriptively required, but, e.g., less common across compounds and preverbs)
 - (e) Lost in most if not all Middle Indic
 - sometimes due to the lack of a supporting inventory
 - often retained in lexemes due to inheritance, but apparently unproductive (e.g. Pāli *karaṇaṃ*, *pariṇata*, etc., but *aparena*, *kenākārena*, etc.)

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